



Reserve Bank of Malawi

SECURITIES ACCOUNT OPENING/UPDATE FORM (CSD)

To be completed in BLOCK LETTERS

APPLICANT PARTICULARS

For Individuals

Full Name..... Gender: Male: Female:
ID Type*..... ID Number*..... Date of Birth*.....
Foreign/Local Investor*.....

For Joint Applicant

Full Name..... Gender: Male: Female:
ID Type*..... ID Number*..... Date of Birth*.....
Foreign/Local Investor*.....

For Companies/Institutions:

Company Name: Registration Number*.....

Physical Address*

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Postal Address:

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Telephone*..... Cellphone*..... Fax:.....

Email Address*.....

Authorised Signatories:.....

NB (*) Denotes required/mandatory fields. Completed forms must be accompanied by certified copy of ID Document & two (2) passport size photos

Dividend Disposal Instruction

Bank Name: [] Bank Branch Code: [] Account Number: []
Account Name: []

DECLARATION

- i. I/We hereby request you to open and maintain a Securities Account in the Central Securities Depository (CSD) in my/our name(s).
- ii. I/We hereby represent and warrant that I/We have good title to such securities that may be held in my/our Securities Account from time to time.
- iii. I/We affirm that the funds to be used for the purchase of Securities through my/our Securities Account will not be funds derived from any money laundering activity or funds generated from terrorist or any other illegal activity.
- iv. I/We hereby confirm that the undersigned Participant has full authority to intermediate and or conduct business on with the Depository on our behalf in keeping with CSD Rules and Procedures that may be in force from time to time.
- v. I/We agree to be bound by the terms and conditions articulated by the CSD Rules including any procedures and any other instructions.
- vi. I/We undertake to notify the under mentioned Participant of any change of particulars or information provided by me/us in this form.

BROKER CONTROLLED ACCOUNT - STOCKBROKERS MANDATE

I/We hereby confirm that I/we appoint _____ to manage my/our CSD Securities Account on our behalf, in accordance with the Terms and Conditions of the Depository in force from time to time". We understand that _____ will be responsible for execution of our trade orders at the Malawi Stock Exchange ("MSE") and recording them on the CSD System while RBM or its agents will be responsible for managing both our cash & scrip settlements on the CSD System. We understand that CSD settlements once confirmed are irrevocable and irreversible and we indemnify _____ against any losses arising as a result of these transactions. No responsibility will be accepted for any errors, omissions or delays in transmissions arising from circumstances beyond the control of _____.

Primary Applicant Signature: _____ Date: _____
Joint Applicant Signature: _____ Date: _____

<p>FOR PARTICIPANT USE ONLY</p> <p>Declaration: We, the undersigned undertake that we have checked the accuracy of the Documents submitted with this application.</p> <p>Verified By: _____ Signature: _____ Securities Account Number: _____ Account Code: _____</p>	<p>FOR CSD USE ONLY</p> <p><input type="checkbox"/> Approved <input type="checkbox"/> Declined</p> <p>Approved By: _____ Signature: _____</p>
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For Official Use